

mation about the lyophilizer, the lyophilization cycle can be optimized for process efficiency while product quality is maintained.

A lyophilization cycle generally consists of three distinctive steps: freezing, primary drying, and secondary drying.

During the freezing step, the shelf temperature is decreased to bring the product temperature below its freezing point. As dust-free environments such as good manufacturing practice (GMP) suites can be conducive to supercooling, the shelf temperature is lowered 10–20 °C below the formulation's freezing point to ensure the formulation reaches a solid state. The rate of freezing can be optimized to induce the most ideal ice crystal shape for the efficiency of the cycle and for product integrity.

Once the formulation is frozen, the shelf temperature can be manipulated to modulate the ice crystals. Larger ice crystals can be formed by coaxing smaller ice crystals to melt and join larger ice crystals upon cooling. The crystallization of targeted excipients can also be controlled through the rate of cooling or heating during annealing. Excipients have tendencies to crystallize but can remain amorphous during rapid freezing/concentration processes.

Once an ideal ice structure is formed, the primary drying step is initiated. A vacuum is introduced to the drying chamber and the chamber pressure is decreased to induce sublimation of the ice. Both the shelf temperature and the chamber pressure contribute to the rate of sublimation and the product temperature. Maintaining the product temperature below the formulation's T_g' has been essential for achieving an elegant cake, although the rate of sublimation is typically faster at higher product temperatures. Therefore, the optimization is centered on balancing shelf temperature and chamber pressure. Once the combination of shelf temperature and the chamber pressure is achieved, the primary drying step is continued until all ice is removed from the chamber. Typically, the shelf temperature can be set 10–30 °C above the desired product temperature, e.g., T_g' , and then reduced through sublimation heat loss induced by the vacuum.

As the decrease of product temperature caused by the sublimation heat loss stops at the conclusion of primary drying, the product temperature naturally converges with the shelf temperature. This temperature convergence, along with the absence of water vapor in the drying chamber, are good indicators to proceed to the secondary drying step. The secondary drying is carried out at a much higher shelf temperature than the primary drying, e.g., 25–50 °C. During this time, any water molecules that failed to sublimate are removed from the lyophilizing cake through evaporation. Again, the absence of water vapor in the drying chamber will confirm the completion of secondary drying. At this point, the lyophilization process can be completed by replacing the vacuum chamber with an inert dry gas, e.g., nitrogen.

The quality of lyophilized formulations can be determined by various product-specific analyses. Some examples of specific characterizations most relevant to lyophilized formulation include moisture content analysis, protein secondary structure analysis by Fourier transform infrared spectroscopy (FTIR), and thermal analysis. These analyses will not only ensure the quality of the product upon the acute