

below 1000 or above 2000 that are made applicable to an article through reference in *General Notices*, a monograph, or another applicable general chapter numbered below 1000. Where the requirements of a monograph differ from the requirements specified in these *General Notices* or an applicable general chapter, the monograph requirements apply and supersede the requirements of the *General Notices* or applicable general chapters, whether or not the monograph explicitly states the difference.

General chapters numbered 1000 to 1999 are for informational purposes only. They contain no mandatory tests, assays, or other requirements applicable to any official article, regardless of citation in a general chapter numbered below 1000, a monograph, or these *General Notices*. General chapters numbered above 2000 apply only to articles that are intended for use as dietary ingredients and dietary supplements. General chapter citations in *NF* monographs refer to *USP* general chapters.

Early adoption of revised standards in advance of the official date is allowed by *USP* unless specified otherwise at the time of publication. Where revised standards for an existing article have been published as final approved "official text" (as approved in section 2.10 *Official Text*) but have not yet reached the official date (six months after publication, unless otherwise specified; see "official date", section 2.20. *Official Articles*), compliance with the revised standard shall not preclude a finding or indication of conformance with compendial standards, unless *USP* specifies otherwise by prohibiting early adoption in a particular standard.

The standards in the relevant monograph, general chapter(s), and *General Notices* apply at all times in the life of the article from production to expiration. It is also noted that the manufacturer's specifications, and manufacturing practices (e.g., Quality by Design, Process Analytical Technology, and Real Time Release Testing initiatives), generally are followed to ensure that the article will comply with compendial standards until its expiration date, when stored as directed. Every compendial article in commerce shall be so constituted that when examined in accordance with these assays and test procedures, it meets all applicable pharmacopeial requirements (*General Notices*, monographs, and general chapters). Thus, any official article is expected to meet the compendial standards if tested, and any official article actually tested as directed in the relevant monograph must meet such standards to demonstrate compliance.

Some tests, such as those for *Dissolution* and *Uniformity of Dosage Units*, require multiple dosage units in conjunction with a decision scheme. These tests, albeit using a number of dosage units, are in fact one determination. These procedures should not be confused with statistical sampling plans. The similarity to statistical procedures may seem to suggest an intent to make inference to some larger group of units, but in all cases, statements about whether the compendial standard is met apply only to the units tested. Repeats, replicates, statistical rejection of outliers, or extrapolations of results to larger populations, as well as the necessity and appropriate frequency of batch testing, are neither specified nor proscribed by the compendia; such decisions are based on the objectives of the testing. Frequency of testing and sampling are left to the preferences or direction of those performing compliance testing, and other users of *USP–NF*, including manufacturers, buyers, or regulatory authorities.

Official products are prepared according to recognized principles of good manufacturing practice and from ingredients that meet *USP* or *NF* standards, where standards for such ingredients exist (for dietary supplements, see section 3.10.20 *Applicability of Standards to Medical Devices, Dietary Supplements, and Their Components and Ingredients*).

Official substances are prepared according to recognized principles of good manufacturing practice and from ingredients complying with specifications designed to ensure that the resultant substances meet the requirements of the compendial monographs.

3.10.10. Applicability of Standards to Drug Products, Drug Substances, and Excipients

The applicable *USP* or *NF* standard applies to any article marketed in the United States that (1) is recognized in the compendium and (2) is intended or labeled for use as a drug or as an ingredient in a drug. Such articles (drug products, drug substances, and excipients) include both human drugs (whether dispensed by prescription, "over the counter," or otherwise), as well as animal drugs. The applicable standard applies to such articles whether or not the added designation "USP" or "NF" is used. The standards apply equally to articles bearing the official titles or names derived by transposition of the definitive words of official titles or transposition in the order of the names of two or more drug substances^{▲USP41} in official titles, or where there is use of synonyms with the intent or effect of suggesting a significant degree of identity with the official title or name.

3.10.20. Applicability of Standards to Medical Devices, Dietary Supplements, and Their Components and Ingredients

An article recognized in *USP* or *NF* shall comply with the compendial standards if the article is a medical device, component intended for a medical device, dietary supplement, dietary ingredient, or other ingredient that is intended for incorporation into a dietary supplement, and is labeled as conforming to the *USP* or *NF*.

Generally, dietary supplements are prepared from ingredients that meet *USP*, *NF*, or *Food Chemicals Codex* standards. Where such standards do not exist, substances may be used in dietary supplements if they have been shown to be of acceptable food grade quality using other suitable procedures.

3.10.30. Applicability of Standards to the Practice of Compounding (New)

USP compounding practice standards, *Pharmaceutical Compounding—Nonsterile Preparations* (795) and *Pharmaceutical Compounding—Sterile Preparations* (797), as appropriate, apply to compounding practice or activity regardless of whether a monograph exists for the compounded preparation or these chapters are referenced in such a monograph. In the United States, (795) and (797) are not applicable to drugs compounded by entities registered with FDA as outsourcing facilities as defined by FDCA § 503B, because such facilities are required to comply with FDA's current good manufacturing practice requirements. Compounded preparations, including drug products compounded by outsourcing facilities, may also be subject to applicable monographs; see section 2.20 *Official Articles* and section 4.10 *Monographs*.

3.20. Indicating Conformance

A drug product, drug substance, or excipient may use the designation "USP" or "NF" in conjunction with its official title or elsewhere on the label only when (1) a monograph is provided in the specified compendium and (2) the article complies with the identity prescribed in the specified compendium.

When a drug product, drug substance, compounded preparation, or excipient differs from the relevant *USP* or *NF* standard of strength, quality, or purity, as determined by the application of the tests, procedures, and acceptance criteria set forth in the relevant compendium, its difference shall be plainly stated on its label.

When a drug product, drug substance, compounded preparation, or excipient fails to comply with the identity prescribed in *USP* or *NF* or contains an added substance that interferes with the prescribed tests and procedures, the article shall be designated by a name that is clearly distinguishing and differentiating from any name recognized in *USP* or *NF*.

A medical device, dietary supplement, or ingredient or component of a medical device or dietary supplement may use the designation "USP" or "NF" in conjunction with its official title or elsewhere on the label only when (1) a monograph is provided in the specified compendium and (2)